

**BURR  
&  
BROWN**

101 South Salina Street  
Seventh Floor  
Syracuse, NY 13202

**RECEIVED  
CENTRAL FAX CENTER**

**OCT 06 2006**

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Christina

**-Official Business-**

**FAX Transmission Sheet**

October 6, 2006

To: OIPE Filing Receipt Corrections Fax No.: (571) 273-8300  
From: Kevin C. Brown Fax No.: (315) 233-8320  
Re: U.S. Patent Application Serial No. 10/530,125  
Title: GLP-1 DERIVATIVE AND PREPARATION THEREOF ABSORBABLE VIA  
MUCOUS MEMBRANE  
Conf. No.: 1475  
Our Ref.: 868\_006

You should receive 5 page(s) including this cover sheet. If you do not receive all pages,  
please call (315) 233-8300.

**COMMENTS:**

**I hereby certify that the following paper(s) is/are being transmitted by facsimile to the  
Patent and Trademark Office on October 6, 2006:**

**Pages**

- Request for Corrected Filing Receipt	1
- Marked-up Filing Receipt	3
Total:	5 (including cover)

*Christina Bersani*

Christina M. Bersani

IMPORTANT - THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT READING, DISSEMINATING, DISTRIBUTING OR COPYING THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND DESTROY THE COMMUNICATION. THANK YOU.

OCT 06 2006

Practitioner's Docket No.: 868\_006

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Yuji HAYASHI, Mitsuhiro MAKINO, Toshiyuki KOUZAKI, Motohiro TAKEDA and Takahito JOMORI

Ser. No.: 10/530,125

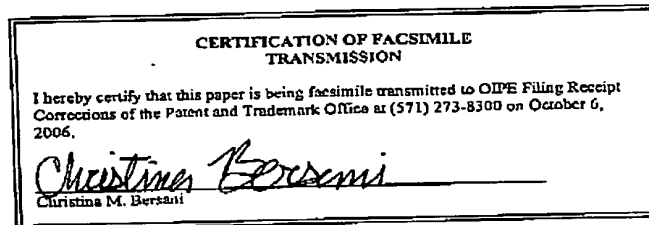
Group Art Unit: 1615

371(c) Date: October 27, 2005

Examiner: Not assigned

Conf. No.: 1475

For: GLP-1 DERIVATIVE AND PREPARATION THEREOF ABSORBABLE VIA MUCOUS MEMBRANE

OIPE Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.**Error in****Correct data**

1. ☐ Applicant's Name
2. ☐ Applicant's Address
3. ☒ Title
4. ☒ Assignee Name

- 1.
- 2.
3. GLP-1 derivative and preparation thereof absorbable via mucous membrane
4. Sanwa Kagaku Kenkyusho Co., Ltd., Nagoya-shi, JAPAN

Respectfully submitted,

Kevin C. Brown  
Reg. No. 32,402October 6, 2006  
Date

KCB/cmb

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

OCT 06 2006

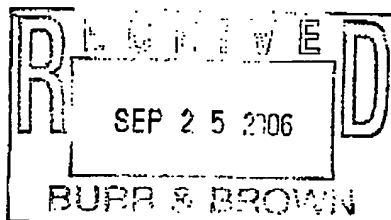


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

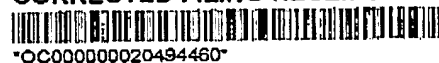
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/530,125	10/27/2005	1615	1030	868_006		17	3

025191  
BURR & BROWN  
PO BOX 7068  
SYRACUSE, NY 13261-7068



CONFIRMATION NO. 1475

CORRECTED FILING RECEIPT



\*OC00000020494460\*

Date Mailed: 09/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yuji Hayashi, Nagoya-shi, JAPAN;  
Mitsuhiro Makino, Nagoya-shi, JAPAN;  
Toshiyuki Kouzaki, Nagoya-shi, JAPAN;  
Motohiro Takeda, Nagoya-shi, JAPAN;  
Takahito Jomori, Nagoya-shi, JAPAN;

## Assignment For Published Patent Application

~~Sanwakagaku~~ Kenkyusho Co., Ltd., Nagoya-shi, JAPAN

*Sanwa Kagaku*

Power of Attorney: The patent practitioners associated with Customer Number 025191.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/13020 10/10/2003

## Foreign Applications

JAPAN 2002-299283 10/11/2002

If Required, Foreign Filing License Granted: 09/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/530,125**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Glp-1 ~~derivatives~~ and preparation thereof absorbable via mucous ~~membrane~~

derivative

membrane

Preliminary Class

514

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).